IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Levergood et al.

Application No.:

09/548,235

Filing Date:

April 12, 2000

Title:

Web Advertising Method

Art Unit:

2155

Examiner:

Patrice L. Winder

Docket No.:

432383-600011

Declaration of Prior Invention to Overcome Cited References Under 37 C.F.R. § 1.131

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited today with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on August 3, 2006

/Suzanne Koston

Sir:

This Declaration is submitted to establish invention of the claimed subject matter of this application prior to March 20, 1995 (the "effective date").

We, Thomas Mark Levergood, Lawrence C. Stewart, Stephen Jeffrey Morris, Andrew C. Payne, and George Winfield Treese, inventors of the subject matter described and claimed in this application, titled "Web Advertising Method" declare as follows:

1. Prior to March, 1995, Messrs. Stewart, Payne and Treese engaged in discussions regarding the development of technology for an Internet-based electronic commerce system for Open Market Inc. ("OMI"), the original assignee of the '780 patent. Messrs. Morris and Levergood joined OMI in January 1995. Prior to March, 1995 and as shown on page 4 of Exhibit A, a document (with Andrew Payne's name at the top of the document) describes an Internet web-based service for customers and advertisers. The web-based service can provide web pages for customers (e.g., web pages containing news and advertisements). The web-based service can provide advertisers with a channel to potential customers. Exhibit A shows conception of claim 1 before the effective date. (The redacted date in Exhibit A is prior to the effective date.) More specifically, Exhibit A discusses "a method of charging for advertising on the Web" (as recited in claim 1), such as on page 3 of Exhibit A: "We can get money from users and advertisers" and "We can charge for placing ads into user's newspapers. We can have several charging models." Exhibit A discloses "determining link traversals leading to a page" (as recited in claim 1), such as on page 3 of Exhibit A:

We can charge for placing ads into user's newspapers. We can have several charging models.

[...]

- per referral: for ads that include hypertext links to more information, we can we can [sic] build a mechanism (see below) that allows us to measure how many users followed the ad links.
- commission: if we can trace the user's reading of the ad in our newspaper to the purchase of the product or service, we could charge a commission for the sale.

Exhibit A discloses "charging for advertising based on link traversals to the page" (as recited in claim 1), such as on page 3 of Exhibit A:

We can charge for placing ads into user's newspapers. We can have several charging models.

[...]

• per referral: for ads that include hypertext links to more information, we can we can [sic] build a mechanism (see below) that allows us to measure how many users followed the ad links.

- commission: if we can trace the user's reading of the ad in our newspaper to the purchase of the product or service, we could charge a commission for the sale.
- 2. Exhibit A shows conception of claim 2 before the effective date. More specifically, Exhibit A discusses "wherein charging for advertising is based on the number of link traversals from an advertising page to a product page," such as on page 3 of Exhibit A:

[...]

- per referral: for ads that include hypertext links to more information, we can we can [sic] build a mechanism (see below) that allows us to measure how many users followed the ad links.
- commission: if we can trace the user's reading of the ad in our newspaper to the purchase of the product or service, we could charge a commission for the sale.
- 3. Exhibit A shows conception of claim 3 before the effective date. More specifically, Exhibit A discusses "wherein charging for advertising is based on the number of sales resulting from a path including an advertising page," such as on page 3 of Exhibit A:

We can charge for placing ads into user's newspapers. We can have several charging models.

 $[\ldots]$

- per referral: for ads that include hypertext links to more information, we can we can [sic] build a mechanism (see below) that allows us to measure how many users followed the ad links.
- commission: if we can trace the user's reading of the ad in our newspaper to the purchase of the product or service, we could charge a commission for the sale.
- 4. Exhibit A shows conception of claim 4 before the effective date. More specifically, Exhibit A discusses "determining link traversals leading from an advertisement to a page" and "measuring the number of sales resulting from link traversals from the advertisement to the page," such as on page 3 of Exhibit A:

[...]

- per referral: for ads that include hypertext links to more information, we can we can [sic] build a mechanism (see below) that allows us to measure how many users followed the ad links.
- commission: if we can trace the user's reading of the ad in our newspaper to the purchase of the product or service, we could charge a commission for the sale.
- 5. Exhibit A shows conception of claim 5 before the effective date. More specifically, Exhibit A discusses "determining link traversals leading from an advertisement to a page" and "measuring the number of transactions resulting from link traversals from the advertisement to the page" such as on page 3 of Exhibit A:

We can charge for placing ads into user's newspapers. We can have several charging models.

 $[\ldots]$

- per referral: for ads that include hypertext links to more information, we can we can [sic] build a mechanism (see below) that allows us to measure how many users followed the ad links.
- commission: if we can trace the user's reading of the ad in our newspaper to the purchase of the product or service, we could charge a commission for the sale.
- 6. Exhibit A shows conception of claim 6 before the effective date. More specifically, Exhibit A discusses the limitations of claim 6 (e.g., tracking access history, including a link sequence through which a document is accessed; determining, based on the access history, link traversals from a first document to a second document; determining a number of such determined link traversals leading from the first document to the second document; and charging for advertising based on the number of link traversals to the second document)." For example, Exhibit A discusses the limitations of claim 6 on page 3 of Exhibit A:

We can charge for placing ads into user's newspapers. We can have several charging models.

- per referral: for ads that include hypertext links to more information, we can we can [sic] build a mechanism (see below) that allows us to measure how many users followed the ad links.
- commission: if we can trace the user's reading of the ad in our newspaper to the purchase of the product or service, we could charge a commission for the sale.
- 7. Exhibit A shows conception of claim 7 before the effective date. More specifically, Exhibit A discusses "wherein a link traversal is determined responsive to two entries in the access history, a first entry corresponding to a request from a given user for the first document and a second entry corresponding to a request from the given user for the second document," such as on pages 3 and 4 of Exhibit A:

 $[\ldots]$

- per referral: for ads that include hypertext links to more information, we can we can [sic] build a mechanism (see below) that allows us to measure how many users followed the ad links.
- commission: if we can trace the user's reading of the ad in our newspaper to the purchase of the product or service, we could charge a commission for the sale.

 $[\ldots]$

8. Exhibit A shows conception of claim 8 before the effective date. More specifically, Exhibit A discusses "wherein the first document is an advertising page and the second document is a product page," such as on page 3 of Exhibit A:

We can charge for placing ads into user's newspapers. We can have several charging models.

- per referral: for ads that include hypertext links to more information, we can we can [sic] build a mechanism (see below) that allows us to measure how many users followed the ad links.
- commission: if we can trace the user's reading of the ad in our newspaper to the purchase of the product or service, we could charge a commission for the sale.

9. Exhibit A shows conception of claim 9 before the effective date. More specifically, Exhibit A discusses "counting the number of sales resulted from a traversed path which includes the advertising page, wherein charging for advertising is based on the number of said sales," such as on page 3 of Exhibit A:

We can charge for placing ads into user's newspapers. We can have several charging models.

[...]

- per referral: for ads that include hypertext links to more information, we can we can [sic] build a mechanism (see below) that allows us to measure how many users followed the ad links.
- commission: if we can trace the user's reading of the ad in our newspaper to the purchase of the product or service, we could charge a commission for the sale.
- 10. Exhibit A shows conception of claim 10 before the effective date. More specifically, Exhibit A discusses "counting the number of purchases resulting from link traversals from the advertisement to the second page, the number of such purchases being a measure of advertising effectiveness," such as on page 3 of Exhibit A:

We can charge for placing ads into user's newspapers. We can have several charging models.

- per referral: for ads that include hypertext links to more information, we can we can [sic] build a mechanism (see below) that allows us to measure how many users followed the ad links.
- commission: if we can trace the user's reading of the ad in our newspaper to the purchase of the product or service, we could charge a commission for the sale.
- 11. Exhibit A shows conception of claim 11 before the effective date. More specifically, Exhibit A discusses "counting the number of transactions resulting from link traversals from the advertisement to the second page, the number of such purchases being a measure of advertising effectiveness," such as on page 3 of Exhibit A:

- per referral: for ads that include hypertext links to more information, we can we can [sic] build a mechanism (see below) that allows us to measure how many users followed the ad links.
- commission: if we can trace the user's reading of the ad in our newspaper to the purchase of the product or service, we could charge a commission for the sale.
- 12. We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	Date:
Andrew C. Payne	,
	Date:
Lawrence C. Stewart	
	Date:
George Winfield Treese	
	Date:
Thomas Mark Levergood	
Stall both	Date: 6/19/0
Stephen Jeffrey Morris	2 3 3 3 3 3

- per referral: for ads that include hypertext links to more information, we can we can [sic] build a mechanism (see below) that allows us to measure how many users followed the ad links.
- commission: if we can trace the user's reading of the ad in our newspaper to the purchase of the product or service, we could charge a commission for the sale.
- 12. We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	Date: 6/19/06
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Lawrence C. Stewart	Date:
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Thomas Mark Levergood	Date:
	Date:
Stephen Jeffrey Morris	1

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Thomas Mark Levergood	
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Electronic Proceedings of the Second World Wide Web Conference '94: Mosaic and the Web

Welcome to the *Electronic Proceedings of the "Second World Wide Web Conference '94: Mosaic and the Web"*! This should be considered a work in progress until shortly after the Conference when the last of the papers are submitted and the final corrections are made.

If a paper's title appears as a hyperlink, that paper is now available; if there is no hyperlink, the paper is not yet available in the *Electronic Proceedings*.

Authors: See the <u>author instructions</u> if you see an error in the way your paper is posted or if your paper is not yet included in the *Electronic Proceedings*.

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- 5. Biology and Chemistry
- 6. Birds of a Feather Proposals
- 7. Campus Wide Information Systems
- 8. Commercialization and Economics of the Web
- 9. Computer Supported Cooperative Work
- 10. Corporate Information Systems
- 11. Developers' Day
- 12. Earth Sciences
- 13. Education
- 14. Evolving Interfaces
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- 16. General Science
- 17. Human Computer Interaction
- 18. International
- 19. <u>Library Applications</u>
- 20. Local Communities / Free Nets
- 21. Medical Education
- 22. Medical Track
- 23. NSF MetaCenter
- 24. Museum Track
- 25. Nation-Wide Sites
- 26. Overviews
- 27. Product Announcements and Availability
- 28. Publishing
- 29. <u>Searching</u>
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- 31. Social Sciences
- 32. Tutorial Sessions
- 33. Virtual Reality

34. Web Products

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